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**SHEET 1 of 2**

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|------------------------|-----------------------|
| Application Number     | 10/797,981            |
| Confirmation Number    | 4999                  |
| Filing Date            | 03/11/2004            |
| First Named Inventor   | Dennis Eugene Kuhlman |
| Group Art Unit         | 1615                  |
| Examiner Name          |                       |
| Attorney Docket Number | 9576                  |

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENT |                       |                           |                     |                                      |                                |  |   |                |
|-------------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|--|---|----------------|
| EXAMINER INITIALS*      | Cite No. <sup>1</sup> | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines<br>Where Relevant Passages<br>or Relevant Figures<br>Appear | T <sup>6</sup> |
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| EXAMINER                | /Tristan Mahyera/     |                           |                     |                                      | DATE CONSIDERED<br>09/24/2007  |  |   |                |

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 2 of 2

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| Examiner Name          |                       |
| Attorney Docket Number | 9576                  |

## NON PATENT LITERATURE DOCUMENTS

| EXAMINER<br>INITIALS*      | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|----------------------------|--------------------------|--|----------------|
| /T.M./                     | 05                       | "Carbopol® ETD Resins: Formulation Tips", Noveon Technical Data Shee-207, March 1994   |                |
| /T.M./                     | 06                       | "Measurement and Understanding of Yield Value in Personal Care Formulations", Noveon, Technical Data Sheet-244, Revision: 5/98, Previous Version: 11/97  |                |
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| <p style="text-align: center;">Substitute for form 1449A/PTO</p> <p style="text-align: center;"><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> <p style="text-align: center;">(use as many sheets as necessary)</p> <p>SHEET 1 of 1</p> | <p style="text-align: center;"><b>COMPLETE IF KNOWN</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Application Number</td> <td>10/797,981</td> </tr> <tr> <td>Confirmation Number</td> <td>4999</td> </tr> <tr> <td>Filing Date</td> <td>03/11/2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Dennis Eugene Kuhlman</td> </tr> <tr> <td>Group Art Unit</td> <td>1615</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>9576</td> </tr> </table> | Application Number | 10/797,981 | Confirmation Number | 4999 | Filing Date | 03/11/2004 | First Named Inventor | Dennis Eugene Kuhlman | Group Art Unit | 1615 | Examiner Name |  | Attorney Docket Number | 9576 |
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|-------------------------------------|-----------------------|---|--------------------------------|---|--|
| T.M./<br>↓<br>↓<br>↓<br>↓<br>↓<br>↓ | 01                    | 5,674,509 A   | 10/07/1997                     | Date et al.                                     |  |
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|----------------------------|-----------------------|--|--------------------------------|---|--|----------------|
| T.M./                      | 08                    | WO 99/20271 A1   | 04/29/1999                     | ROC   |  |                |
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